



117	III	UNITED	SIMIES	TrALIDINI	AIND	INAD	DIATECTO	OFFI	<u>CE</u>
IN	THE	UNITED	STATES	PATENT	AND	TRAD	FMARK	OFFI	CE

In re application of:

Ritz, et al.

Group Art Unit: 2611

Serial. No.:

10/047,540

Filed:

January 15, 2002

For:

SYSTEM AND METHOD FOR

CONNECTING END USER WITH

APPLICATION BASED ON

**BROADCAST CODE** 

Attorney Docket No.:

052555-5018

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

RECEIVED

MAY 0 8 2003

Commissioner of Patents and Trademarks Washington, D.C. 20231

**Technology Center 2600** 

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patents and publications which may be material to the examination of this application and in respect of which there may be a duty of disclosure in accordance with 37 C.F.R. § 1.56. This information is being submitted before the mailing of the first Office Action on the merits. In accordance with C.F.R. § 1.98(d), copies of patents set forth and listed on the attached Form PTO 1449 (1 sheet) were provided in one or more parent cases and, thus, are not enclosed.

#### **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8**

I hereby certify that this paper and the documents and	d/or fees re	eferred to he	rein as tran	smitted, s	ubmitted or	enclosed are	being
deposited with the United States Postal Service with	sufficient	postage a	rst-class ma	ail in an e	nvelope add	lressed to the	Mail
Stop Non-Fee Amendment, Commissioner for Patent	ts", P.O. B	ox 1450	exandria, V	'A 22313	-1450, on tl	ne date indica	ited
deposited with the United States Postal Service with Stop Non-Fee Amendment, Commissioner for Patent below.	-						
	, ,,		//				

Name: Alison B. Weisberg Signature:

## U.S. Patents

5,237,157	Kaplan	August 17, 1993
5,539,635	Larson, Jr.	July 23, 1996
5,860,068	Cook	January 12, 1999
5,872,588	Aras, et al.	February 16, 1999
5,930,768	Hooban	July 27, 1999
5,966,440	Hair	October 12, 1999
5,991,737	Chen	November 23, 1999
6,160,989	Hendricks, et al.	December 12, 2000
6,233,682	Fritsch	May 15, 2001
6,263,313	Milsted	July 17, 2001
6,311,214	Rhoads	October 30, 2001
6,463,585	Hendricks, et al.	October 8, 2002
6,542,933	Durst, Jr., et al.	April 1, 2003

## **Published Patent Applications**

Publication No.	Applicant	Publication Date
2001/0027472	Guan	October 4, 2001
2001/0032251	Rhoads, et al.	October 18, 2001
2002/0062261	Mukai	May 23, 2002
2003/0019935	Giannulli	January 30, 2003

# Foreign Patents

Patent Document No.	Patentee/Applicant	Publication Date
WO 01/35410	Fitzpatrick	May 17, 2001
WO 02/44956	Christensen	June 6, 2002

## Other Reference

Anon, "Controversial Custom CD Patent Licensed by Super Sonic BOOM," Information Today, Vol. 15, No. 5, p.43, May 1998.

Anon., "Singapore: Vivamusic.com Opens Physical Store," Business Times, p. 12, August 21, 2002.

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO 1449 with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein

is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.R.F. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that other material information as defined in 7 C.F.R. § 1.56(b) exists.

The Commissioner is hereby authorized to charge any fees due in connection with this submission to Deposit Account No. **50-0310**. A duplicate copy of this page is enclosed.

Respectfully sulpmitted,

Dated: May 2, 2003

By:

Alison B. Weisberg

Registration Number 45,206

MORGAN, LEWIS & BOCKIUS LLP

1701 Market Street Philadelphia, PA 19103

Phone: (215) 963-5000 Facsimile: (215) 963-5299

·		12		JC 48 3	
Substitute	e for form 14	49 <b>XXX</b> TO		<b>E</b>	Complete if Known
		No.	BADEMARY	Application Number	10/047,540
INFORM	MATION	DISCLO	CANT	Filing Date	January 15, 2002
STATE	MENT BY	APPLI	CANT	First Named Inventor	Ritz, et al.
(1100.5	e many cho	oto oo no.		Group Art Unit	2611
(use a	s many shee	as as nec	essary)	Examiner Name	Not Yet Assigned
Sheet	1	of	11	Attorney Docket Number	052555-5018

		U.S	S. PATENT DOCUMENTS	
Exr Initials	Exr Initials U.S. Patent Document  Number Kind Code (if known)		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	5,237,157		Kaplan	August 17, 1993
	5,539,635		Larson, Jr.	July 23, 1996
	5,860,068		Cook	January 12, 1999
	5,872,588		Aras, et al.	February 16, 1999
	5,930,768		Hooban	July 27, 1999
	5,966,440		Hair	October 12, 1999
	5,991,737		Chen	November 23, 1999
	6,160,989		Hendricks, et al.	December 12, 2000
	6,233,682		Fritsch	May 15, 2001
	6,263,313		Milsted	July 17, 2001
	6,311,214		Rhoads	October 30, 2001
	6,463,585		Hendricks, et al.	October 8, 2002
	6,542,933		Durst, Jr., et al.	April 1, 2003
	2001/0027472		Guan	October 4, 2001
	2001/0032251		Rhoads, et al.	October 18, 2001
	2002/0062261		Mukai	May 23, 2002
	2003/0019935	1.	Giannulli	January 30, 2003

FOREIGN PATENT DOCUMENTS								
	Foreign Patent Document				Date of Publication	T <sub>1</sub>		
Exr Initials	Country	Number	Kind Code	Name of Inventor or Applicant of	of Cited Document			
	Code		(if known)	Cited Document	MM-DD-YYYY			
	WIPO	01/35410		Fitzpatrick	May 17, 2001			
	WIPO	02/44956		Christensen	June 6, 2002			

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				
	Anon, "Controversial Custom CD Patent Licensed by Super Sonic BOOM," Information Today, Vol. 15, No. 5, p. 43, May 1998.				
	Anon, "Singapore: Vivamusic.com Opens Physical Store," Business Times, p. 12, August 21,				
	2000.				
	RECEI	VF!			
	MAY 0 8	2003			
	Technology Co	nter 2			

Examiner	Date
Signature	Considered